Selective Impairment of Hippocampal Gamma Oscillations in Connexin-36 Knockout Mouse In Vivo

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ABSTRACT

The physiological roles of neuronal gap junctions in the intact brain are not known. The recent generation of the connexin-36 knockout (Cx36 KO) mouse has offered a unique opportunity to examine this problem. Recent in vitro recordings in Cx36 KO mice suggested that Cx36 gap junction contributes to various oscillatory patterns in the theta (~5-10 Hz) and gamma (~30-80 Hz) frequency ranges, and affects certain aspects of high frequencies (>100 Hz) patterns. However, the relevance of these pharmacologically-induced patterns to the intact brain is not known. We recorded field potentials and unit activity in the CA1 stratum pyramidale of the hippocampus in behaving of wild type (WT) and Cx36 KO mice. Fast-field “ripple” oscillations (140-200 Hz) were present in both WT and KO mice and did not differ significantly in power, intraepisode frequency or probability of occurrence. Thus, fast-field oscillations may either not require electrical synapses or may be mediated by a hitherto unknown class of gap junctions. Theta oscillations, recorded during either wheel running or rapid eye movement sleep, were not different either. However, the power in the gamma frequency band and the magnitude of theta-phase modulation of gamma power were significantly decreased in KO mice compared to WT controls during wheel running. This suggests that Cx36 interneuronal gap junctions selectively contribute to gamma oscillations.

Keywords: theta; gamma; ripple; oscillation; EEG; unit, gap junction, connexin-36
INTRODUCTION

Cooperative activity of central neurons is often expressed in the form of network oscillations (cf. Gray, 1994; Buzsáki and Chrobak, 1995). During exploratory, appetitive behaviors and rapid eye movement (REM) sleep, rhythmic network oscillations, theta (~5-10 Hz) and gamma (~30-80 Hz) waves, are present in the hippocampal formation (Vanderwolf, 1969; Buzsáki, 2002). In the absence of theta activity irregular sharp waves in CA1 str. radiatum and associated fast-field oscillations (or “ripples”; ~140-200 Hz) in the CA1 pyramidal cell layer (O’Keefe and Nadel, 1978; Buzsáki et al., 1992), are present during waking immobility, consummatory behaviors, and slow-wave sleep (SWS).

The neuronal mechanisms that underlie fast oscillations in the hippocampus (140-200 Hz) and neocortex (300-600 Hz) are poorly understood (Buzsáki et al., 1992; Ylinen et al., 1995; Kandel and Buzsáki, 1997; Draguhn et al., 1998; Bragin et al., 1999; Grenier et al., 2001; Jones and Barth, 1999; Jones et al., 2000; Jones and Barth, 2002; Curio 2000; Traub et al., 2001; Maier et al., 2002). Neuronal populations underlying the ripple are believed to be synchronized by fast synaptic mechanisms (Buzsáki et al., 1983; Ylinen et al., 1995; Jones et al., 2000), interneuronal gap junctions (Ylinen et al., 1995) and/or gap junctions across pyramidal cells (Draguhn et al., 1998; Traub et al., 1999; Traub and Bibbig, 2000; Schmitz et al., 2001; Jones and Barth, 2002; Maier et al., 2002).

Inhibitory interneurons have been hypothesized to play a critical role in both theta and gamma oscillations (Buzsáki et al., 1983; Penttonen et al., 1998; Fox, 1989; Leung and
Yim, 1986; Whittington et al., 1995; Traub et al., 1992; Wang and Buzsáki, 1996; Buzsáki 2002). Since cortical interneurons are known to be interconnected by gap junctions (Katsumaru et al., 1988; Gibson et al., 1999; Fukuda and Kosaka, 2000; Galarreta and Hestrin, 2001; Beierlein et al., 2000; Tamas et al., 2000; Amitai et al., 2002), electrical communication may assist the emergence of these slower rhythms as well (Traub et al., 2000).

The recent generation of the Cx36 knockout (KO) mouse offers a unique opportunity for studying the functional roles of inter-neuronal gap junction communication. *In vitro* and modeling studies have either observed no difference (Hormuzdi et al., 2001; Traub et al., 2002) or significant decrease in both ripple incidence and intra-ripple frequency (Maier et al., 2002) in KO animals relative to wild type (WT) mice. The lack of the Cx36 gap junction also disrupted hippocampal gamma-like frequency oscillations *in vitro* (Hormuzdi et al., 2001) and reduced the synchrony of rhythmic inhibitory potentials in the theta and gamma frequency bands in the neocortex (Deans et al., 2001). However, *in vitro* studies are limited because oscillations are induced by either stimulation or pharmacological means, and the slice preparation lacks proper network connectivity. Therefore, we examined the effect of the deletion of Cx36 protein on hippocampal network activity *in vivo*. We found a selective impairment of gamma oscillations, with no effect on the slower theta or the faster ripple patterns.

**MATERIALS AND METHODS**

*Generation of connexin-36 KO mice.* Cx36 KO mice, lacking the second exon of the Cx36 gene, were generated as described previously by Hormuzdi et al. (2001). As demonstrated
by in situ hybridization, there is a complete absence of connexin 36-containing gap
junctions in the brain including the CA1 region, the focus of this study.

*Animals and surgery.* Male knockout (Cx36 KO; n=9) and wild type (WT; n=9) mice (4
Cx36 littermates and 5 male progeny of C57B6/J and 129S6/SvEvTac hybrids) were
anesthetized with a mixture of (4 mg/kg) of ketamine (25 mg/ml), xylazine (1.3 mg/ml),
and acepromazine (0.25 mg/ml) via an intramuscular injection. All WT mice were
compared to ensure that no physiological differences were present (Buzsaki et al., in
press). Holes above the hippocampus (approximately 1 mm in diameter) were drilled
bilaterally 1.7 mm posterior to bregma and ± 1.0 mm lateral to the midline. To record
field potentials and unit activity, two tetrodes or 60 μm wires were fixed on a moveable
drive. Tetrodes (Reece and O’Keefe, 1989) were constructed from four 12-μm
polyimide-coated nichrome wires (H.P. Reid Co., Palm Coast, FL) bound together by
twisting and then melting their insulation (Gray et al., 1995). Each complete turn of the
microdrive moved the recording electrode ~0.3 mm axially. During surgery the electrodes
were placed bilaterally approximately 0.5 mm into the neocortex, directly above the CA1
region of the hippocampus, according to Franklin and Paxinos (1997). The holes were
then covered with a mixture of paraffin oil and wax. Two stainless steel screws were
driven into the skull above the frontal cortex and served as ground and reference
electrodes as well as anchors. Two screws were also implanted posterior to lambda to
serve as anchors. After the electrodes and anchors were in position, the microdrive was
fixed to the skull with dental acrylic. Each mouse was then placed one to a cage where
food and a dish of water were placed on the floor of the cage for easy access. Recordings
began at least after a 24-hour recovery period. The electrodes were slowly positioned in
the target layers and recordings continued for approximately two weeks. All procedures
conformed to the NIH Guide for the Care and Use of Laboratory Animals and had been approved by the Institutional Animal Care and Use Committee, Rutgers University.

**Data Acquisition.** Electrical activity was recorded during slow wave sleep (SWS) and rapid eye movement sleep (REM) in its home cage, and during the wake cycle while the animal was running in a wheel. The apparatus consisted of a running wheel (29.5 cm in diameter) and adjacent box (30 cm x 40 cm x 35 cm; Czurkó et al., 1999). The mice were allowed to freely explore the apparatus and run in the wheel. Instrumentation amplifiers, built in the female connector (16 channel), were used to reduce cable movement artifacts. Initially, one recording electrode was lowered slowly into the CA1 region of the hippocampus while the other remained in the neocortex. The position of the electrode in the CA1 pyramidal layer was determined by the presence of fast oscillations (“ripples”) in association with synchronous discharge of pyramidal cells and interneurons (Buzsáki et al., in press). Field potential and unit activity were recorded after being amplified (2000-5000X) and band-pass filtered (1 Hz-3 kHz; model 12-24 channel; Grass Instruments, Quincy, MA), digitized with 12-bit resolution continuously at 10-20 kHz (ISC-16 analog-to-digital converter; RC Electronics, Santa Barbara, CA), and recorded on a 486 personal computer. The data were analyzed off-line. When bilateral recordings were made, recordings from each hemisphere were treated as independent data.

**Data processing and analysis.** All analyses and statistics were calculated using custom scripts written for Matlab 6.1 for LINUX (MathWorks, Inc., Natick, MA).

**Spike Sorting.** Putative cells were isolated using methods previously described by Csicsvari et al. (1998; 1999) and Harris et al. (2000). In short, the wide-band recorded signals were
digitally high-pass filtered (0.8 – 5 kHz). The power (root mean square) of the filtered signal was computed in a sliding window (0.2 msec) for spike detection. Spikes with a power threshold (mean + 5 SD) were extracted. CA1 pyramidal cells and interneurons were identified and isolated from the extracted spikes based on amplitudes and wave shapes by first using an auto-clustering method (Harris et al., 2000). After auto-clustering, units were further isolated using a manual cluster cutting method (Csicsvari et al., 1999). Units showing a refractory period ≥ 2 msec were considered single units. Units recorded from the 60-µm wires were treated as multiunit activity.

Detection of ripple, theta and gamma events. The beginning, middle and end of CA1 ripple events were detected by applying an amplitude threshold (mean +7 SD) to the previously bandpass-filtered (150-250 Hz) EEG. Theta and gamma epochs, amplitudes and phases were detected by applying Hilbert transform to the previously bandpass-filtered (4-12 Hz and 30-80 Hz, respectively) EEG (Le Van Quyen et al., 2001). The mean gamma amplitude was then calculated for every 10° of the theta cycle.

Histology. After the collection of the data, mice were deeply anesthetized with a high dose of Nembutal (100 mg/kg). With the electrodes left in situ, animals were perfused transcardially with saline (~15 ml), followed by 50 ml of phosphate-buffered (0.1 M) fixative (4% paraformaldehyde, 0.15% picric acid, and 0.05% glutaraldehyde). Brains were extracted, blocked within range of the hippocampus, and placed in fixative for 24-48 hrs. The brains were then cut into 80 µm-thick sections using a vibratome. For verification of electrode placement, sections were mounted onto gelatin-coated slides, stained with the Nissl method, dehydrated and covered with Depex for light microscopy.
RESULTS

Comparison of fast-field ripple oscillations during slow wave sleep

Similar to the rat, ripple events could be resolved by eye in wide band (1 Hz-3 kHz) recordings (Fig. 1A). Only ripple events occurring during slow wave sleep were used in these analyses. The mean probability of ripple episode (>7SD episodes) occurrence was 0.68±0.07/sec for the KO and 0.69±0.03 for the WT group. The magnitude and frequency of ripples in Cx36 KO and WT mice were compared quantitatively by two methods. First, spectral power was calculated for the entire slow wave sleep session (5-20 min) and the cumulative power in the 100-200 Hz band was used to quantify ripples. Neither the power nor the peak frequency of ripple band was significantly different for the two groups (Fig. 1B; p>0.05; Wilcoxon rank sum test). In the second approach the ripple episodes were first detected by the threshold criteria and a multitaper method was used to calculate ripple power (see Methods). Again, both the mean power and frequency (WT= ~149 Hz; KO= ~150 Hz) were similar in the two groups (inset in Fig. 1B; p>0.05; Wilcoxon rank sum test).

Theta and gamma oscillations in WT and KO mice

Theta/gamma epochs recorded during wheel running and REM sleep were analyzed separately. Theta/gamma epochs during REM sleep episodes were obtained from the same sleep session from which ripples were recorded during slow wave sleep. To attain
accurate measurements, the data was limited to steady, uninterrupted wheel running and REM periods (i.e., >10 sec periods in which the theta epochs were steady and static).

Theta power (6-9 Hz cumulative power) in the KO mice was not significantly different from the WT mice either during REM sleep (Fig. 2A) or awake wheel running sessions (Fig. 2B; p>0.1). In contrast, the power at frequencies > 20 Hz were different in the two groups. Gamma power (30-80 Hz cumulative power) was significantly lower in KO mice compared to WT animals during wheel running (p<0.0001), although not during REM sleep (Fig. 2). The group data, shown in Fig. 2, is from all mice (n=7 KO and n=11 WT).

When the data were limited to mice in which slow wave sleep, REM sleep and wheel running sessions were recorded in a single experiment (n=5 KO) and n=6 WT), the group differences remained the same (<0.001).

The next analysis addressed the relationship between theta phase and the magnitude of gamma oscillation (Bragin et al., 1995; Buzsáki et al., in press). For each recording, instantaneous gamma power was measured during every 10° bins of the theta cycle. These calculations showed that the power of gamma activity fluctuated periodically and reached maximum values at 14.4±1.7° (WT) and 32.0±5.1° (KO) after the theta peak recorded in the CA1 pyramidal layer during REM sleep (Fig. 3A) and 35.5±6.4° (WT) 35.7±4.3° (KO) were obtained during wheel running (Fig. 3B). These findings indicated that the mechanism responsible for the theta phase locking of gamma power operated identically in the two groups. The magnitude of instantaneous power across the theta cycle was lower in the KO group during wheel running, confirming the gamma power measurements in the spectral data (Fig. 2). This difference was larger on the peak portion of the theta cycle than on the trough. To quantify this observation, we calculated the
“theta modulation depth” of gamma power by normalizing the curves to the trough of theta in each animal. The magnitude of modulation depth was not significantly different in Cx36 mice compared to WT controls during REM sleep (p>0.1), but was significantly less in Cx36 mice during wheel running (p<0.02).

The mean firing rates of pyramidal cells were similar during both wheel running (WT=2.76±0.45 Hz, n=17 cells; KO= 2.40±0.30 Hz, n=20) and REM sleep (WT= 1.91±0.38 Hz, n=16; KO= 1.28±0.19 Hz, n=26). These averages may overestimate the mean firing rate of all pyramidal neurons because most pyramidal cells discharge at a very low rate (0.1 Hz) and therefore are not detected by the clustering method (Harris et al., 2000). The firing rates of interneurons were 17.03±3.20 Hz (n=13, WT) and 10.43±1.96 Hz (n=24, KO) during wheel running and 13.10±3.39 Hz (n=8, WT) and 21.06±3.43 Hz (n=13, KO) during REM sleep. These differences were not statistically significant. The phase relationship between theta oscillation and unit discharge is summarized in Fig. 5. Neurons recorded in either REM or wheel running sessions were combined to increase the database. Pyramidal neurons in the WT group had two peaks, one approximately 30° after the trough of theta waves recorded in the pyramidal layer and another maximum at the peak of the theta cycle (Fig. 4A). The double peak was not necessarily due to combining neurons with distinct phase preferences since double peaks were also observed in theta-phase histograms of individual units (Buzsáki et al., in press). In KO animals the maximum discharge probability occurred slightly after the trough of theta (30-60°) and increased discharge associated with the peak of theta was not prominent. These differences were not significantly different across the groups. Note, however, that the largest difference between the two groups occurred at the phase of theta where the largest
difference in gamma power was detected (compare with Fig. 3). Individual interneurons showed a stronger theta phase-locking than pyramidal cells but the theta phase-unit relationship showed considerable variability across interneurons. As a group, interneurons discharged maximally 30-120° prior to the theta trough in WT (Fig. 4B), i.e., on the descending portion of the theta waves. In the KO group, the maximum discharge probability occurred after the theta trough. The relative phase-shift of the firing peaks may be due to technical factors. The peak of theta was determined with the Hilbert transform (see Methods) and the differential theta phase-locking of gamma power (see above) may have affected the determination of zero phase due to the non-sinusoid nature of theta waves.

DISCUSSION

The three field patterns in the hippocampus, addressed in the current study (theta, ~5-9 Hz; gamma, ~30-80 Hz; and ripples, ~140-200 Hz), have been extensively studied in the rat. These field patterns are also present in the mouse and are comparable to those studied in the rat (Buzsáki et al., in press). The present results suggest that the power of gamma oscillations is selectively decreased in mice lacking Cx36 gap junctions, without affecting gamma frequency or other network patterns.
Interneurons have been suggested to play a pivotal role in theta and gamma oscillations (Buzsáki et al., 1983; Buzsáki and Chrobak, 1995; Freund and Buzsáki, 1996; McBain and Fisahn, 2001; Traub et al., 1999). Since interneurons are connected to each other by both chemical synapses and gap junctions (Katsumaru et al., 1988; Tamas et al., 2000; Amitai et al., 2002) an important issue is whether and how electrotonic communication in networks of interneurons contributes to the various brain rhythms. While gap junctions have been hypothesized to “assist” chemical synapses with faster oscillations (Buzsáki, 2001; Galarreta and Hestrin, 2001), slower rhythms (<100 Hz) have typically been thought to be dominantly mediated by chemical synapses. The present findings and recent in vitro experiments suggest, however, that interneuronal gap junctions may play a role in the generation of network oscillations in the gamma frequency range.

Recording from str. radiatum of the CA3 region, Hormuzdi et al. (2001) reported that Cx36 KO mice consistently yielded significantly lower amplitude gamma oscillations when gamma was pharmacologically induced by application of kainate or carbachol. The frequency of induced gamma oscillation was not different between the two groups. In addition, they also showed a significant difference in the duration of IPSPs in KO interneurons, recorded from CA3 stratum pyramidale. Deans et al. (2001), recording from pairs of neocortical low threshold spiking (LTS) cells rather than from the extracellular medium, found a significant impairment in the synchronous features of LTS-based inhibition in the local area network in Cx36 KO mice. Both studies found that electrical coupling in nearby interneurons was absent in Cx36 KO animals. These latter observations suggest that only one type of gap junction, Cx36, is present among these interneurons. Together, these in vitro and the present in vivo observations indicate a similar
impairment of gamma oscillations in the absence of interneuronal gap junctions, i.e., a significant decrease of local synchrony as reflected by the power of the field, but without alteration of the dominant frequency.

It was also suggested that the lack of the Cx36 gap junctions affects oscillations in the lower frequency range in the neocortex (Deans et al., 2001). In our experiments neither the power nor the frequency of hippocampal theta oscillations was altered.

The difference of gamma power between the KO and control groups was larger during wheel running than during sleep. It may be argued that this difference may be artifactual, due to the some impairment of ambulation in the Cx36 KO mice. Although this argument cannot be completely dismissed with the available data, to date, behavioral tests have failed to reveal any differences in motor activity between Cx36 KO and control animals (Long et al., in press). Importantly, differences in ambulatory behavior should have been reflected by differences in theta power (Czurkó et al., 1999), which was not found to be the case here. We hypothesize that the difference in gamma power during wheel running versus REM sleep could be explained by the more effective modulation of Cx36 gap junctions by subcortical neurotransmitters in the awake animal.

*Lack of Cx36 involvement in fast-field ripple oscillations*

The observation in the intact rat that halothane, a non-specific gap junction blocker, abolished hippocampal ripples prompted the suggestion that electrical coupling among neurons may be critical in the generation of super fast network oscillations (Ylinen et al,
1995). Our present findings indicate, however, that interneuronal Cx36 gap junctions are likely not involved. No aspect of hippocampal ripples was quantitatively different between KO and WT animals. Furthermore, neocortical ripples were also present in KO mice (our unpublished observations). In light of the impairment of gamma oscillations, this finding is surprising because ripples in the CA1 region are due to a cooperative coupling of gamma oscillators in the CA3 region (Csicsvari et al., 2000). A possible explanation of this discrepancy is that transient gamma oscillation bursts, present in the absence of theta activity at times when release of subcortical neurotransmitters are reduced, do not require wide-range coupling of interneurons via gap junctions. This conjecture is supported by the less pronounced deficit of gamma power during REM sleep in Cx36-deficient mice.

At least two factors contribute to the field ripples. Synchronous discharge of pyramidal neurons generate repetitive “mini populations spikes” (Buzsáki, 1986) that are responsible for the spike-like appearance of the troughs of ripples in the pyramidal cell layer. The positive “wave” component has been suggested to reflect synchronously occurring IPSPs in pyramidal cells (Ylinen et al., 1995; Jones et al., 2000; Grenier et al., 2001). Ripple-like patterns, consisting of transient oscillation of mini population spikes only, have been recently described in vitro (Draguhn et al., 1998). The in vitro oscillations persist after blockade of inhibition (see also Jones and Barth, 2002) or even after complete blockade of synaptic transmission. On the basis of these in vitro observations and computer simulations it was proposed that ripple oscillations were mediated by electrical coupling that occurred between the axons pyramidal cells (Draguhn et al., 1998; 2000; Traub et al., 1999; Traub and Bibbig, 2000). However, the overall appearance, intra-episode frequency and amplitude of ripple-like events in Cx36-deficient mice were found identical to those
in WT mice (Hormuzdi et al., 2001). A more recent study, on the other hand, reported that the incidence of ripple-like events, recorded in the CA1 region, and their intra-episode frequencies were significantly reduced in Cx36 KO mice compared to WT animals (Maier et al., 2002).

Although the ripple-like events in the slice may capture some important aspects of the analogous events in the intact brain, they are not identical with it. Ripple-like events \textit{in vitro} are present right after birth (Palva et al., 2000), whereas ripples \textit{in vivo} first emerge during the late part of the second week of life in rats (Leinekugel et al., 2002). The latter observation further supports the idea that Cx36 gap junctions are not involved in ripple generation since Cx36 messenger RNA declines during the first 2 postnatal weeks in both rat and mouse (Peinado et al., 1993; Söhl et al., 1998; Condorelli et al., 2000). If gap junctions are critical in the emergence of ripple oscillations their developmental profile should match that of the physiological events underlying ripple generation. A further difficulty is that electrical coupling between pairs of pyramidal cells has never been observed (Gibson et al., 1999; Beierlein et al., 2000; Venance et al., 2000; Soh et al., 2001; Deans et al., 2001). It is possible that other electrical junctions (Stebbings et al., 2002), different from the connexin family, exist in the mammalian brain as well and these junctions open only under special voltage or other circumstances. A complicating aspect of this idea is that ripple-like oscillations are blocked by the connexin-specific octanol, halothane and carbonoxolone \textit{in vitro} (Draguhn et al., 1998), whereas these agents do not affect non-connexin type electronic junctions (Stebbings et al., 2002). Further research will determine whether hitherto unidentified electrical junctions are essential for ripple
oscillations in the hippocampus and neocortex and whether their abolishment will impair super fast oscillations in the intact mammalian brain.

On the basis of specific neuronal expression, gene structure and phylogenetic analysis, it has been proposed that Cx35/36 proteins represent a distinct subgroup of the connexin family. Genetic localization mapped the human Cx36 gene to chromosomal band 15q14 (Belluardo et al., 1999). Because a form of juvenile myoclonic epilepsy has also been linked to this region (Elmslie et al., 1997; Sander et al., 1997), it was suggested that diminished gap junction communication among interneurons is linked to the disease. We have not found any physiological or behavioral alterations indicative of seizures. The relatively selective deficit in gamma oscillations may predict more subtle cognitive deficits, including impaired perception and memory.
REFERENCES


Figures

**Figure 1.** Ripples are not affected by deletion of CX36 gap junction. A) Examples of ripple episodes (1.0 Hz-3 kHz) in representative WT and Cx36 KO animals (top traces). Averaged ripples for the two mice. Mean±SE. B) Comparison of ripple power between WT and Cx36 KO animals. Averaged power spectra for all mice in the respective groups (mean±SE) during slow wave sleep (5 to 10 min sessions). Note similarly increased power between 100-200 Hz, corresponding to ripple power in both groups. Inset: Power of isolated ripple episodes (7 SD above background mean; see Methods). Note similar peak frequency and power in both groups.
Figure 2. Gamma power is impaired in CX36 KO mice. Group mean (±SE) power spectra for KO and WT mice during REM sleep (A) and wheel running (B) sessions. Note large difference in power for frequencies >20 Hz.
Figure 3. Phase modulation of gamma amplitude in the theta cycle. A and B, Upper traces: Grand mean theta waves (1 Hz-3 kHz) from WT (thick line) and KO (thin line) mice. Two cycles are shown for clarity. A, Instantaneous gamma amplitude (root mean square power) as a function of theta phase during REM sleep. Note largest gamma amplitude after the theta peak. B, Comparison during wheel running. Note larger mean power of gamma oscillation in WT. Note also that the difference between KO and WT groups is larger at theta peak than at theta trough.
Figure 4. Theta phase and unit activity. A, pyramidal cells (pyr; n=31 neurons WT; n=50 KO). B, interneurons (int; n=21 WT; n=34 KO). Top traces in A, Grand mean theta waves (1 Hz-3 kHz) from WT (thick line) and KO (thin line) mice, combined from REM and wheel running sessions. Graphs show mean ±SE.